Operational Aspects of Row and Selective Thinning in the Establishing of a Shelterwood in a 50-Year-Old Norway Spruce Stand

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ABSTRACT

The aim of this study is to investigate the productivity, thinning quotient, reduction in basal area, damage rate, and costs of operation of row and selective thinning in the establishment of a shelterwood in a medium-aged Norway spruce stand. The cutting was performed with a single-grip harvester operating from existing striproads (spacing 15 m) parallel to the tree-rows. Extraction with a forwarder was studied in the same stand.

The two thinnings were more alike than expected. The thinning quotient was 0.9 and the reduction in basal area round 40% in both treatments. The time consumption for harvesting was larger in row than in selective thinning because row thinning was more difficult to perform when the harvester worked from striproads parallel to the treerows. No difference in forwarding productivity was found. The damage rate was higher in row than in selective thinning. It is concluded that row thinning from striproads parallel to the tree-rows has a negative influence on both productivity and quality of the work. This type of row thinning is difficult to perform because the tree-rows are difficult to identify and because the trees are more difficult to reach.

The operational aspects should be considered before a thinning method is chosen. Row thinning should preferably be carried out either by driving on removed rows or from striproads perpendicular to the tree-rows.

Keywords: *Denmark, extraction, forwarder, harvesting, Picea abies, productivity, row thinning, selective thinning, single-grip harvester, stemdamages, stem-injuries, time study.*

INTRODUCTION

Norway spruce (*Picea abies* (L.) Karst.) is the most common tree species in northern and central Europe. It has been planted far beyond its natural range, for example on the former heath areas in western Denmark [31]. Norway spruce has several advantages in these plantations on poor soil such as easy regeneration by planting after clearcutting, rapid juvenile growth and good timber quality. However some disadvantages have become apparent. The older stands are susceptible to windthrows, and root rot (caused by the fungus *Heterobasidion annosum* (Fr.) Bref.) has become more and more common. Because of the disadvantages objectives have been set to transform part of the heath plantations into mixed forests mainly of native broad-leaved species [7, 10]. Regeneration by clearcutting and replanting is not suitable for the introduction of species such as beech (*Fagus sylvatica* L.) and silver fir (*Abies alba* Mill.), but under a shelterwood it is possible. However, in the windy climate of western Denmark fully-grown shelterwoods are often subject to windthrows. Shorter stands are more wind-resistant, and therefore early shelterwood regeneration (height<15 meters) has been proposed [17, 21, 23, 27].

The harvester-forwarder system is used for almost all softwood harvesting in Scandinavia. The system has been analysed in detail with most efforts consisting of studies of single-grip harvester productivity [9, 11, 12, 13, 19, 20, 35]. The mechanisation and rationalisation of forestmanagement in the Nordic countries has led to the replacement of traditional marking for felling in thinnings by machine operator tree selection based on thinning prescriptions.

Establishing shelterwoods in stands planted in rows is mainly done in two ways: row or selective thinning. Row thinning is defined as total removal of a proportion of the rows in some sequence, while selective thinning can be described as removing a proportion of the trees in every row, according to some individual selection criteria. Selective thinning is preferred if specific post stand characteristics or specific characteristics of the harvested trees are sought (thinning from below, thinning from above, thinning for quality etc.). Row thinning is preferred if accessibility to the stand is given priority for example for facilitating site preparation [28].

Establishing striproads is the most common type of row thinning, and the harvester operates from the striproad as it is established. This type of row thinning is efficient because it is easy to harvest trees directly in front of the harvester. If row thinning is to be done in stands where the distance between the tree-rows is small,

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the most common solution is to use a very narrow machine or to remove two adjacent tree-rows. Row thinning can also be performed the same way as selective thinning, which means that the harvester is operating from already established striproads, wide enough to drive on. This is not very common, but it can be relevant if shelterwood establishment is to be followed by site preparation with narrow drawn implements.

All thinning operations cause some damage to the remaining stand. The damage rate for single-grip harvesters in thinning and in shelterwood establishment has been decreasing [16]. In recent studies the proportion of damaged trees has been only 3% [33, 35]. Sirén [33] found variation between operators and a clear correlation between high productivity and low frequency of damaged trees. Fröding [16] found that most damage occurred during processing (90%) while Sirén [33] found that as much as 60% occurred during felling. Fröding's results [14, 15, 16] mostly go back to the situation before 1985] when mechanised thinning was something new, even though the last of these papers was published in 1992. This explains most the lower proportion of damaged trees in processing in more recent studies [33,35]. Extraction also results in damage, with loading being responsible for most of it (up to 80%) [24].

The aim of this study is to investigate the productivity, thinning quotient, reduction in basal area, damage rate and costs of operation of two thinning methods termed row and selective thinning in the establishment of a shelterwood in a fifty year old Norway spruce stand. The harvesting was performed with a single-grip harvester operating from existing striproads (spacing 15 m) parallel to the tree-rows. Extraction with a forwarder was studied in the same stand.

MATERIALS AND METHODS

The study area was a 50 year old Norway spruce stand (4.1 ha) on a poor sandy soil located in north-western Denmark (56° 30'N, 8° 22' E). Terrain conditions for the site were class 1 for bearing capacity, surface evenness and ground slope where class 1 represents very easy conditions and 5 very difficult conditions [6].

The stand was originally planted in rows spaced at approximately 1.4 m and trees within them at the same spacing. Some silver fir (*Abies alba* Mill.), grand fir (*Abies grandis* Lindl.), Scots pine (*Pinus silvestris* L.) and larch (*Larix decidua* Mill.) were found in the stand, but 95% of the trees were Norway spruce.

Striproads had been established, and the stand had been selectively thinned twice before the study, which had reduced the stand density from about 4000 to about 1000 trees per ha $(N_1$ according to symbols in Table 1). A main hauling road (5 meters wide) perpendicular to the rows and 26 striproads (4 meters wide, spaced 15 meters apart) parallel to the rows divided the stand into 54 plots(approximately 0.08 ha each)consisting of approximately 9 tree-rows. The stand was divided in four parts where row thinning and selective thinning as well as the effect of the two operators was studied (Figure 1).

In selective thinning, the prescription was to leave 50- 60% of the most vigorous trees uniformly spaced while in row thinning, it was to remove every second tree row in order to obtain easy passage for site preparation implements (normally row 2 and 4 according to Figure 2). A tree row was not to be removed if this would cause larger gaps (i.e. more than 6*6 meters) in the stand

Figure 1. Study area and treatments.

Table 1: Stand mensurational data.

Symbols according to IUFRO standard (extended) [2, 18]:

- d: Single tree diameter at breast height
- h: Single tree height
- d/h: Pair-wise diameter/height measurements
- g: Single tree basal area at breast height
- v: Single tree volume
- N: Number of trees per ha
- D_{a} : : Mean basal area diameter at breast height
- Hg : Height referring to mean basal area diameter at breast height
- : Total stem volume referring to mean basal area diameter at breast height
- V_g^{ξ} : Basal area per ha
- V_{s} : : Total stem volume per ha
- V_m : Merchantable volume per ha

Subscripts following symbols:

- 1: Before thinning
- 2: In thinning
- 3: After thinning

Figure 2. Row numbers.

structure. If so, the adjacent tree row should be removed (i.e., shifting rows for a short distance), still bearing in mind the need for easy passage of site preparation implement. In both treatments dead, dying, recessive or damaged trees should be removed irrespective of their location, and trees of other species than Norway spruce should be preserved if possible. Saw logs of 2.2 meter length and 3.0 meter pulpwood were the target products. Saw logs were to have a minimum top diameter of 10 cm, be straight and without defects. Pulpwood should have a minimum top diameter of 7 cm and contain no rot. Treetops should be placed on the striproad because the shelterwood establishment was to be followed by site preparation and planting.

For both methods a residual density of approximately 550 trees per ha after thinning was the silvicultural target.

Before establishing the shelterwood, diameters at breast height $(d_1$ in Table 1) were measured in samples (1141 trees), while d_1/h_1 (where h_1 is height in meters) was measured on 39 trees. After establishing the shelterwood, d_3 were measured on all (2284) shelter trees and d_3/h_3 was measured on 159 trees. The harvested trees were counted during the time study and d_2 was registered on 820 of the 1818 harvested trees. No d_2/h_2 were measured on harvested trees. A diameter/height function built on the same model as [26] was estimated on the basis of all d/h $(h=(d*(1.20+0.360*d)^{-1})^3+1.3)$. Total stem volume per hectare (v_{s2}) was calculated from a standard total stem volume function [22]. The harvester head measured the merchantable volume (v_{m2}) during harvesting.

The harvesting was done with a Silvatec 656 TH singlegrip harvester (see Table 2 for machine details) driving on the striproads parallel to the rows. Extraction was carried out with a Valmet 820 forwarder (see Table 2). Saw logs were extracted first, followed by pulpwood. Saw logs were loaded in two tandem stacks on the forwarder in order to double the volume of each load. Harvesting was carried out between the $20th$ and the $22nd$ and the extraction between the 26th and the 28th of May 1997.

Table 2. Machine specifications.

Harvester: Silvatec 656 TH Engine type and power: Perkins 1006-T, 114 kW Weight: 11000 kg Width: 2.5 m Length: 6.3 m Crane type and reach: Silvatec 7570, 7.0 m. Harvester head type and weight: Silvatec 445, 750 kg Inlet diameter: 50 cm Delimbing diameter: 5-45 cm

Forwarder: Valmet 820 Engine type and power: Valmet 420 DW, 80 kW Weight: 9300 kg Width: 2.5 meter Length 7.9 meter Load area: 3.4 m^2 Max load: 8500 kg Crane type and reach: Cranab 580, 5.4 m Grapple type and area: Cranab 280, 0.28 m²

Productive work time [8] was recorded in a cumulative time study using SIWORK-3 software on a Husky Hunter computer [29]. In the harvesting study, the unit of observation was the individual tree, and in the forwarding study it was the individual load. In the harvesting study the productive work time for harvesting was divided into *processing time*, *positioning time*, *moving time* and *preparation time*. In the forwarding study the productive work time for forwarding was divided into *loading time*, *time to drive while loading*, *time to adjust the load*, *unloading time*, *driving time with full load*, and *driving time without load* (Table 3).

The harvesting time-study results have been standardised to fixed conditions (tree removal rate (N_2) =450 trees/ha, diameter of removed trees (d₂)=15.8 cm) through the use of covariates. In the harvesting study, the analysis of *processing time* and *positioning time* was based on 820 single tree observations. For *processing time*, d₂ has been used as covariate. For *positioning time*, d_2 was also tested as covariate, but it had no significant effect. *Moving time* per ha was calculated on the basis of 46 observations each representing moving along a striproad (24 observations in row thinning and 22 in selective thinning). The areas were calculated as half the sum of the two plot areas on each side of the striproad. Analysis of *moving time* per ha was done using N_{2} as covariate. After standardising to fixed conditions (N₂=450 trees /ha), *moving time* per tree was calculated as *moving time* per ha divided by 450. *Preparation time* per ha was calculated and analysed the same way as *moving time*, but without covariate.

For the extraction, the time consumption per $m³_m$ (merchantable volume) was calculated on the basis of data from 20 full loads (4 loads of pulpwood in row thinning, 6 loads of pulpwood in selective thinning, 6 loads of saw logs in row thinning, and 4 loads of saw logs in selective thinning). The *time to drive while loading* has been adjusted proportionally to the number of removed trees (N_2) to eliminate the effect of thinning intensity (Time $_{\text{adjusted}}$ =Time*N₂/450). Extraction distance was standardised to 150 m on forest roads and 100 m on striproads/main hauling roads on the basis of a linear regression.

After harvesting and forwarding, the number of injured shelter trees was registered in all 54 plots. All visible wounds exposing the xylem were registered irrespective of their size, according to [15]. Damaged trees were classified in two groups: (1) visible root-damage and damage lower than one meter above the ground and (2) damage one to five meters above the ground.

All analyses were carried out in the SAS statistical

package, version 6.12 [4, 5]. Models have been tested for outliers, influential values, and constant variance in the guided data analysis module. Transformations have been made where appropriate in order to obtain normal distributions, but all mean values are calculated on untransformed data. Typically, variance of the residuals in time-study data is non-constant, and is characterised by the "right opening megaphone distribution" [34] i.e. there is a greater variation associated with the larger observations than with smaller. The logarithmic transformation has been found to be suitable for time study data giving the transformed observations a normal distribution and a constant variation.

The economical analyses were based on work place (i.e., scheduled) time. For both harvesting and forwarding work place time was calculated as 1.49 times the productive work time [30]. The productive work time is considered equal to E_0 (Effective work-time according to [3]). Prices for saw logs and pulpwood were assumed to 38 and 23 US $$\rm{m^3}_{m}$$ respectively. Machine costs was set to 119 US $$ h⁻¹$ for the harvester and 81 US $$ h⁻¹$ for the forwarder in terms of work place time [1].

RESULTS

In total 1818 trees were harvested giving 143 $m³$ _m saw logs and 87 ${\rm m}^3_{\rm m}$ pulpwood. The ratio between ${\rm V}_{_{\rm m2}}$ and ${\rm V}_{_{\rm s2}}$ was calculated to 87.5% based on the total merchantable volume and the total stem volume (Table 1). The productive work time for harvesting was studied for 17.0 hours and the forwarding productive work time for 21.3 hours. The overall productivity was 13.5 m³/hour (productive work time) for harvesting and $10.8 \text{ m}^3/\text{hour}$ for forwarding.

The reduction in basal area was around 40% and the thinning quotient was approximately 90% in both row thinning and selective thinning (Table 1).

Before thinning, the density of trees decreased with increasing distance from the striproad. In selective thinning the number of removed trees decreased with increasing distance from the striproad, and therefore selective thinning resulted in quite a uniform distribution of shelter trees. In row thinning most trees were removed in tree row 2 and 4 in accordance with the prescription. Row thinning was more strictly practised in row 2 than in row 4, and row thinning resulted in a high density of shelter trees in tree row 1 (Figure 3).

After standardisation, harvesting productivity was 10% higher in selective thinning than in row thinning because *processing* and *positioning* took shorter time. No signifi-

30 ¨ *International Journal of Forest Engineering*

Table 3: Work elements.

Figure 3. Proportion of trees in rows. Row 1 is closest and row 5 is farthest away from the striproad.

Table 4. Harvesting productive work time in cmin tree⁻¹ (% of total time).

*In each row different letters indicate significant difference at p<0.05 level.

cant difference was found between the two operators except that operator 1 used more *preparation time* (Table 4). Both *processing* and *positioning* took longer time if trees were harvested far away from the striproad, and in all tree-rows *processing* and *positioning* took longer time in row thinning than in selective thinning (Figure 4). *Moving time* per ha was strongly correlated to N_2 and no significant difference between row thinning and selective thinning could be found (Table 4). The total number of working positions per ha (the number of the work element *moving* per ha) was also strongly correlated with N₂ while *moving time* per position did not seem to be correlated with N_2 .

A full load of saw logs and pulpwood consisted of 10.5 m_{m}^{3} and 6.6 m_{m}^{3} (m³ merchantable volume) respectively for both treatments. There was no significant difference in the productive work time for forwarding between the two treatments, but significant differences were found between the two assortments. Seventy-three percent of the time consumption was used for *loading*, *drive while loading* and *adjusting the load* and 27% for *driving with load*, *driving without load* and *unloading*. *Loading time* and *time to drive while loading* took longer for pulpwood, and *time to adjust the load* took longer for saw logs (Table 5). A grapple contained 0.16 and 0.11 $m³$ _m saw logs and pulpwood respectively when *loading*. Corresponding values for *unloading* was 0.42 and 0.50 m³_m. On basis of the observations of *driving time with load* and *driving time without load* two regressions were made (Table 5). The speed on forest roads was estimated to 11 km h^{-1} loaded and 13 km h^{-1} empty, and the speed at the striproads were estimated to 4 km h^{-1} , both loaded and empty.

The only difference in the economic analysis is in the harvesting costs (Table 6). The reason is that no differences in mean tree-size of the harvested trees, in the distribution of assortments, or in the productive work time for forwarding was found between the treatments. This result would not be expected if the thinning prescription were to be followed more strictly.

The selective thinning seems to result in lower damage rate below one meter, especially for operator 2. Row or selective thinning or operator did not seem to affect damage rate from one to five meters above ground level (Figure 5).

DISCUSSION

Shelterwoods should be established using at least two thinnings [23]. The thinning operations studied represent the shelterwood establishment for the stands studied given that the initial thinning was done five years earlier.

Harvester productivity in this study was slightly higher than found in [9] and [11]. The reason is probably the very easy conditions, the uniform stand and the dense net of striproads. It was expected that row thinning would

Figure 4. *Processing time* and *positioning time* for the harvester. Row 1 is closest and row 5 is farthest away from the striproad.

Table 5. Forwarding productive work time in cmin m_{m}^{3} (% of total time).

 $*$ In a row, numbers followed by different letters are significantly different at p<0.05 level.

** Standardised to a driving distance of 150 m on forest roads and 100 m on striproads.

Regressions: *Driving time with load* = (86.59 + 0.552*FR + 1.498*SR)/load size *Driving time without load*=(51.68 + 0.458*FR + 1.468*SR)/load size $FR =$ meters on forest road, $SR =$ meters on striproads Load size for saw logs: 10.5 m³_m
Load size for pulpwood: 6.6 m³_m

Table 6. Income and costs at roadside (US \$ ha⁻¹).

forwarding

Figure 5. Proportion of damaged shelter trees with 95% confidence intervals below 1 m above the ground and 1-5 m above the ground.

have resulted in a thinning quotient (the quotient between breast-height-diameter for the harvested trees and breast-height-diameter for the shelterwood) near one and selective thinning round 80-90%. The study results showed no difference in thinning quotient between the two treatments. The explanations are that row thinning was not strictly adhered to, and that large trees often stand isolated and therefore are preserved to a higher degree than small trees. The operators maybe prefer to remove small trees in row thinning because a tree represents an obstruction for site preparation irrespective of its size. Another explanation could be that the operators are so used to thin from below that they do it automatically, even in row thinning.

Processing time increases with increasing distance from the tree to the striproad because the tree must be pulled out to the striproad. *Positioning time* also increases with increasing distance from the striproad to the tree because it takes more time for the boom to move the longer distance. One explanation of the higher time consumption in row thinning is therefore that the trees were, on average, harvested farther away from the striproad. Another explanation is the higher number of shelter trees in tree row 1 for row thinning (Figure 3), because these trees obstructed boom operations the most.

The increase in *moving time* per ha with increasing thinning intensity shows that *moving time* was not only determined by the driving distance, but also connected with the harvesting operation (in line with *positioning time*). In other words one can say that one part of the *moving time* per ha was used to move the harvester on the striproads, and the other part was used for micropositioning the machine in order to harvest a tree.

Time consumption for *loading* depends on the volume per grapple and therefore *loading* is faster for saw logs than for pulpwood. When *unloading*, the opposite is true (more volume in a grapple of pulpwood) because of the longer lengths. The time consumption to *drive while* loading depends on the density (m³ha⁻¹), and was therefore shorter for saw logs than for pulpwood. *Adjusting the load* includes additional time for loading tandem stacks on the forwarder, and therefore *time to adjust the load* is longer for saw logs. On the other hand the tandem stacks double the load, which halves the *driving time with and without load* per unit of production. The size of the load does not seem to affect speed, but *driving without load* is faster than *driving with load*.

The damage rate was acceptably low compared with similar studies [16, 32, 35] taking into consideration that is was a result of both harvesting and extraction. The present study showed more damage below 1 m from the ground in row thinning compared to selective thinning. Fröding [14] examined the spatial distribution of injuries in thinning and found that injury rates often decrease as the distance from the striproad increases. This could explain the higher damage rate in row thinning simply because there were more shelter trees left in row 1. The larger amount of damage in row thinning can also be attributed to the different distribution of shelter trees for the two treatments. Shelter trees are quite uniformly spaced after selective thinning, which means that there is approximately four meters between the trees. The average distance between the shelter trees in row thinning depends on the orientation. The distance between the shelter trees in the tree -rows is smaller than average and the inter-row distance is larger than average. Because the trees are felled perpendicular to the tree-rows, the spacing between the trees in the tree-row determines the space for felling and thereby the level of damage.

In both treatments, the operators were to remove trees with obvious damage, providing that it did not result in an unacceptably large gap in the shelterwood. The work pattern in selective thinning might make it easier to remove damaged trees, because the damaged trees could be reached more easily.

Both operators preferred to do selective thinning. The reason might lie in this being routine practice, but the operators also pointed out that the single-grip harvester is designed in a way that the operator can see the whole tree, allowing him to assess its quality. The view from the cabin also gives the operators a good picture of the distribution of the trees, but the operators can not easily identify the specific tree-rows, because their line of sight is perpendicular to the rows. Therefore they often had to stand up or lean forward to identify the tree-rows in row thinning.

Selective thinning must be preferred from a stand vigour aspect, because vigour is a more dominant selection criterion in selective thinning than in row thinning. In a vigorous stand like the one studied, almost all trees are acceptable shelter trees. In spite of this, row thinning could not be practised strictly. In less vigorous, stands row thinning will be unacceptably restrictive. If row thinning had been done in earlier thinnings, it could have been applied more strictly.

An alternative way to do row thinning is to drive on striproads perpendicular to the rows (Figure 6, Harvester no. 2). This would make it easy for the operator to identify the tree-rows and remove every second row. The accessibility of the harvester head in the stand would be improved, and the felling would be parallel to the rows. This would increase productivity and simultaneously reduce the number of injuries.

Row thinning could alternatively be carried out using a more compact harvester being able to drive in a 2.2-2.4 m wide corridor (e.g. Sampo Rosenlew 1046X see Figure 6, harvester no. 3). There are also forwarders narrow enough to drive in such a corridor [25]. Harvester productivity would increase because of decreased *positioning time*. This way of doing row thinning could be of interest as younger spruce plantations in Denmark are often established with an inter-row spacing of 1.7 meters, providing a 2.8-3.0 m wide corridor after row thinning. That space makes it more realistic to drive machines there.

The way row thinning has been carried out in this study has a negative influence on the harvesting productivity and also on the damage rate, but row thinning may still be preferred if the disadvantages are compensated by advantages for the site preparation. The final conclusion must be that the two treatments can be combined. The prescription might be to leave vigorous trees on the area uniformly spaced, at the same time being aware of the accessibility requirements of site preparation equipment. Other ways of executing row thinning e.g. driving on the

Figure 6. Row thinning can be performed from striproads parallel to the rows (1), or from striproads perpendicular to the rows (2), or with a narrow harvester driving in the stand (3).

removed rows or driving on striproads perpendicular to the present striproads are expected to give higher productivity and lower damage rate than the method used in this study.

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